# FREE SPACES OVER COUNTABLE COMPACT METRIC SPACES

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ABSTRACT. We prove that the Lipschitz-free space over a countable compact metric space is isometric to a dual space and has the metric approximation property.

#### 1. Introduction

Let (M, d) be a pointed metric space, that is to say a metric space equipped with a distinguished origin, denoted 0. The space  $Lip_0(M)$  of Lipschitz functions from M to  $\mathbb{R}$  vanishing at 0 is a Banach space equipped with the Lipschitz norm:

$$||f||_L = \sup_{x \neq y} \frac{|f(x) - f(y)|}{d(x, y)}.$$

Its unit ball is compact with respect to the pointwise topology, then  $Lip_0(M)$  is a dual space. In [3], its predual is called the Lipschitz free space over M, denoted  $\mathcal{F}(M)$  and it is the closed linear span of  $\{\delta_x, x \in M\}$  in  $Lip_0(M)^*$ . One can prove that the map  $\delta: M \to \mathcal{F}(M)$  is an isometry. For more details on the basic theory of the spaces of Lipschitz functions and their preduals, called Arens-Eells space there, see [14].

Very little is known about the structure of Lipschitz-free spaces. For instance  $\mathcal{F}(\mathbb{R})$  is isomorphically isometric to  $L_1$ , but A. Naor and G. Schechtman [11] proved that  $\mathcal{F}(\mathbb{R}^2)$  is not isomorphic to any subspace of  $L_1$ . The study of the Lipschitz-free space over a Banach space is useful to learn more about the structure of this Banach space. For example G. Godefroy and N. Kalton [3] proved, using this theory, that if a separable Banach space X isometrically embeds in a Banach space Y, then Y contains a linear subspace which is linearly isometric to X.

We recall that a Banach space X is said to have the approximation property (AP) if for every  $\varepsilon>0$  and every compact set  $K\subset X$ , there is a bounded finite-rank linear operator  $T:X\to X$  such that  $\|Tx-x\|\le \varepsilon$  for every  $x\in K$ . If moreover there exists  $1\le \lambda<+\infty$  not depending on  $\varepsilon$  or K such that  $\|T\|\le \lambda$  then X has the  $\lambda$ -bounded approximation property ( $\lambda$ -BAP) and X has the bounded approximation property (BAP) if it has the  $\lambda$ -BAP for some  $\lambda$ . Finally X has the metric approximation property (MAP) if  $\lambda=1$ .

It is already known that  $\mathcal{F}(\mathbb{R}^n)$  has the MAP [3], and that if M is a doubling metric space then  $\mathcal{F}(M)$  has the BAP [9]. Moreover E. Pernecká and P. Hájek [7]

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proved that  $\mathcal{F}(\ell_1)$  and  $\mathcal{F}(\mathbb{R}^n)$  have a Schauder basis. However, G. Godefroy and N. Ozawa [4] constructed a compact metric space K such that  $\mathcal{F}(K)$  fails the AP.

In the first part of this article we will prove that the Lipschitz-free space over a countable compact metric space K is isometrically isomorphic to the dual space of  $lip_0(K) \subset Lip_0(K)$ . Let  $\omega_1$  be the first uncountable ordinal, we will prove, by induction on  $\alpha < \omega_1$  such that  $K^{(\alpha)}$  is finite, that  $\mathcal{F}(K)$  has the MAP. This will rely on a theorem of A. Grothendieck [6] asserting that any separable dual having the BAP has the MAP, and a decomposition of the space K due to N. Kalton [8]. This provides a negative answer to Question 2 in [4], which was originally asked by G. Aubrun to G. Godefroy during a seminar in Lyon about his paper with N. Ozawa.

## 2. Duality

For any pointed metric space (M,d) we denote by  $lip_0(M)$  the subspace of  $Lip_0(M)$  defined as follows:  $f \in lip_0(M)$  if and only if for every  $\varepsilon > 0$ , there is a  $\delta > 0$  such that for  $x, y \in M$ ,  $d(x, y) < \delta$  implies  $|f(x) - f(y)| \le \varepsilon d(x, y)$ .

The main result of this section is the following:

**Theorem 2.1.** If (K, d) is a countable compact metric space, then  $\mathcal{F}(K)$  is isometrically isomorphic to a dual space, namely  $lip_0(K)^*$ .

## Definition 2.2.

- (1) Let X be a Banach space. A subspace S of  $X^*$  is called separating if  $x^*(x) = 0$  for all  $x^* \in S$  implies x = 0.
- (2) For (M,d) a pointed metric space,  $lip_0(M)$  separates points uniformly if there exists a constant  $c \ge 1$  such that for every  $x, y \in M$ , some  $f \in lip_0(M)$  satisfies  $||f||_L \le c$  and |f(x) f(y)| = d(x,y).

Mimicking an argument from [2] we will use a theorem due to Petunīn and Plīčhko [13] saying that if  $(X, \|\cdot\|)$  is a separable Banach space and S a closed subspace of  $X^*$  contained in NA(X) (the subset of  $X^*$  consisting of all linear forms which attain their norm) and separating points of X, then X is isometrically isomorphic to  $S^*$ . Theorem 3.3.3 in [14] gives the same result but in a less general case.

We start with two lemmas taken from [2].

**Lemma 2.3.** For any (K,d) compact pointed metric space, the space  $lip_0(K)$  is a subset of  $NA(\mathcal{F}(K))$ .

*Proof.* We can see  $lip_0(K)$  as the subset of  $Lip_0(K)$  containing all f such that for every  $\varepsilon > 0$ , the set  $K_{\varepsilon}^2 := \{(x,y) \in K^2, \ x \neq y, \ |f(x) - f(y)| \geq \varepsilon \ d(x,y)\}$  is compact.

Let  $f \in lip_0(K)$ , we may assume that  $f \neq 0$ , then there exists  $\varepsilon > 0$  such that,

$$||f||_L = \sup_{x \neq y} \frac{|f(x) - f(y)|}{d(x, y)} = \sup_{(x, y) \in K_{\varepsilon}^2} \frac{|f(x) - f(y)|}{d(x, y)} = \max_{(x, y) \in K_{\varepsilon}^2} \frac{|f(x) - f(y)|}{d(x, y)}$$

Thus there exist  $x \neq y$  such that  $||f||_L = \frac{|f(x) - f(y)|}{d(x,y)}$  and setting  $\gamma = \frac{1}{d(x,y)}(\delta_x - \delta_y)$  we obtain  $\gamma \in \mathcal{F}(K)$  and  $||f||_L = |f(\gamma)|$ , with  $||\gamma||_{\mathcal{F}(K)} = 1$  because  $\delta$  is an isometry. Then f is norm attaining and  $lip_0(K) \subset NA(\mathcal{F}(K))$ .

**Lemma 2.4.** For any (K,d) compact pointed metric space, if  $lip_0(K)$  separates points uniformly, then it is separating.

*Proof.* Using Hahn-Banach theorem, one can prove that  $lip_0(K)$  is separating if and only if it is weak\*- dense in  $Lip_0(K)$ .

Now assume  $lip_0(K)$  separates points uniformly. Then there exists  $c \geq 1$  such that for every  $F \subset K$ , F finite, and every  $f \in Lip_0(K)$ , we can find  $g \in lip_0(K)$ ,  $||g||_L \le c||f||_L$ , such that  $f_{|F} = g_{|F}$  (see Lemma 3.2.3 in [14]), and it is easy to deduce that  $\overline{lip_0(K)}^{w^*} = Lip_0(K)$ .

These lemmas allow us to reduce the problem. We need to prove that the little Lipschitz space over a countable compact metric space separates points uniformly.

For this proof we will use a characterization of countable compact metric spaces with the Cantor-Bendixon derivation: for a metric space (M,d) we denote

- M' the set of accumulation points of M.
- M<sup>(α)</sup> = (M<sup>(α-1)</sup>)', for a successor ordinal α.
   M<sup>(α)</sup> = ∩ M<sup>(β)</sup>, for a limit ordinal α.

A compact metric space (K, d) is countable if and only if there is a countable ordinal  $\alpha$  such that  $K^{(\alpha)}$  is finite.

Proof of Theorem 2.1: Let us prove that

$$\exists c \ge 1, \ \forall x, y \in K, \ \exists h \in lip_0(K), \ \|h\|_L \le c, \ |h(x) - h(y)| = d(x, y).$$

So let  $x \neq y \in K$  and set a = d(x, y). Since K is countable and compact the closed ball  $\overline{B}(x,\frac{a}{2})$  of center x and radius  $\frac{a}{2}$  is countable and compact and there exists a countable ordinal  $\alpha_0$  such that  $\overline{B}\left(x,\frac{a}{2}\right)^{(\alpha_0)}$  is finite and non empty: there exist  $k_1 \in \mathbb{N}, \ y_1^1, \cdots, y_1^{k_1} \in K$  such that  $\overline{B}\left(x, \frac{a}{2}\right)^{(\alpha_0)} = \{y_1^1, \cdots, y_1^{k_1}\}$ . We denote  $a_1^i = d(y_1^i, x)$ , for  $1 \le i \le k_1$ . Then we can find  $r_1$  and  $v_1^1 < \cdots < v_1^{r_1}$  such that  $\{a_1^1, \cdots, a_1^{k_1}\} = \{v_1^1, \cdots, v_1^{r_1}\}$ . Now set

$$v_1 = \left\{ \begin{array}{l} a/2, & \text{if } \overline{B}\left(x,\frac{a}{2}\right)^{(\alpha_0)} = \{x\} \\ \min\left(\left(\{v_1^1, \ \frac{a}{2} - v_1^{r_1}\} \backslash \{0\}\right) \cup \{v_1^i - v_1^{i-1}, \ 2 \leq i \leq r_1\}\right), \text{otherwise} \end{array} \right.$$

and define  $\varphi_1:[0,+\infty[$   $\to$   $[0,+\infty[$  by

$$\varphi_1(t) = \begin{cases} 0 & , t \in \left[0, \frac{v_1}{4}\right[ := V_1^0 \\ v_1^i & , t \in \left]v_1^i - \frac{v_1}{4}, v_1^i + \frac{v_1}{4}\right[ := V_1^i, 1 \le i \le r_1 \\ \frac{a}{2} & , t \in \left]\frac{a}{2} - \frac{v_1}{4}, +\infty\right[ := V_1^{r_1+1} \end{cases}$$

and  $\varphi_1$  is continuous on  $[0, +\infty[$  and affine on each interval of  $[0, +\infty[$   $\setminus \bigcup_{i=0}^{r_1+1} V_1^i$ . One can check that the slope of  $\varphi_1$  is at most 2 on each of these intervals, so  $\|\varphi_1\|_L \leq 2.$ 

With  $f(\cdot) = d(\cdot, x)$  we set  $C_1 = f^{-1}\left([0, +\infty[\setminus \cup_{i=0}^{r_1+1} V_1^i).\right)$ If  $C_1$  is finite or empty define  $h(\cdot) = 2\left(\varphi_1 \circ d(\cdot, x) - \varphi_1(d(0, x))\right)$ . It is clear

from the definition of  $\varphi_1$  that  $||h||_L \leq 4$ , |h(x) - h(y)| = d(x, y) and h(0) = 0. Now

we set

4

$$\delta = \begin{cases} v_1/2, & \text{if } C_1 = \emptyset \\ \frac{1}{2} \min \left( \{ v_1, \text{sep}(C_1) \} \cup \{ \text{dist}(z, K \setminus C_1), \ z \in D_1 \} \right), & \text{otherwise} \end{cases}$$

where  $sep(C_1) = \inf\{d(z,t), z \neq t, z, t \in C_1\}$  and  $D_1 = f^{-1}\left([0, +\infty[\setminus \cup_{i=0}^{r_1+1} \overline{V_1^i})\right)$ . Note that  $\delta > 0$ . Indeed  $v_1 > 0$ ,  $C_1$  is finite thus  $sep(C_1) > 0$ , for any  $z \in D_1$  $dist(z, K \setminus C_1) > 0$  and  $D_1$  is finite.

If follows that every  $z \neq t \in K$  such that  $d(z,t) \leq \delta$  are not in  $D_1$  and there exists  $i \leq r_1$  such that  $z,t \in f^{-1}\left(\overline{V_1^i}\right)$ , so the equality h(z) = h(t) holds, i.e.  $h \in lip_0(K)$ .

Assume that  $C_1$  is infinite. Since  $C_1 \subset \overline{B}(x, \frac{a}{2})$  we have that for every ordinal  $\alpha, C_1^{(\alpha)} \subset \overline{B}(x, \frac{a}{2})^{(\alpha)}$ . But  $C_1 \cap \overline{B}(x, \frac{a}{2})^{(\alpha_0)} = \emptyset$  so  $C_1^{(\alpha_0)} = \emptyset$ . However  $C_1$  is compact, thus there exists  $1 \le \alpha_1 < \alpha_0$  so that  $C_1^{(\alpha_1)}$  is finite and non empty. Then there exist  $k_2 \in \mathbb{N}$  and  $y_2^1, \dots, y_2^{k_2} \in K$ , such that  $C_1^{(\alpha_1)} = \{y_2^1, \dots, y_2^{k_2}\}.$ 

For  $1 \le i \le k_2$ , we denote  $a_2^i = d(y_2^i, x)$ . We can find  $r_2$  and  $v_2^1 < \cdots < v_2^{r_2}$  such that

$$\{a_2^1, \cdots, a_2^{k_2}\} = \{v_2^1, \cdots, v_2^{r_2}\}.$$

Now set

$$v_2 = \min\left(\left\{v_1, \ v_2^1\right\} \cup \left\{v_2^i - v_2^{i-1}, \ 2 \le i \le r_2\right\}\right)$$

and define  $\varphi_2: [0, +\infty[ \to [0, +\infty[$  continuous by

$$\varphi_2(t) = \begin{cases} \varphi_1(t) &, t \in \bigcup_{i=0}^{r_1+1} V_1^i \\ \varphi_1(v_2^i) &, t \in \left] v_2^i - \frac{v_2}{2^3}, v_2^i + \frac{v_2}{2^3} \right[ := V_2^i, 1 \le i \le r_2 \end{cases}$$

and  $\varphi_2$  is affine on each interval of  $[0, +\infty[\setminus((\cup_{i=0}^{r_1+1}V_1^i)\cup(\cup_{i=1}^{r_2}V_2^i))$ .

The Lipschitz constant of  $\varphi_2$  equals the maximum between  $\|\varphi_1\|_L$  and new slopes of  $\varphi_2$ . It is easy to check that  $\|\varphi_2\|_L \leq 2 \times (1 + \frac{1}{3}) = \frac{8}{3}$ 

Set 
$$C_2 = f^{-1}([\frac{v_1}{4}, \frac{a}{2} - \frac{v_1}{4}] \setminus ((\bigcup_{i=1}^{r_1} V_1^i) \cup (\bigcup_{i=1}^{r_2} V_2^i))).$$

Set  $C_2 = f^{-1}([\frac{v_1}{4}, \frac{a}{2} - \frac{v_1}{4}] \setminus ((\bigcup_{i=1}^{r_1} V_1^i) \cup (\bigcup_{i=1}^{r_2} V_2^i)))$ . If  $C_2$  is finite or empty then setting  $h(\cdot) = 2(\varphi_2 \circ d(\cdot, x) - \varphi_2(d(0, x)))$ , we obtain  $||h||_L \leq \frac{16}{3}$ , |h(x) - h(y)| = d(x, y), h(0) = 0 and with

$$0 < \delta = \begin{cases} v_2/2, & \text{if } C_2 = \emptyset \\ \frac{1}{2} \min \left( \{ v_2, \text{sep}(C_2) \} \cup \{ \text{dist}(z, K \setminus C_2), \ z \in D_2 \} \right), & \text{otherwise} \end{cases}$$

where 
$$D_2 = f^{-1}\left(\left[\frac{v_1}{4}, \frac{a}{2} - \frac{v_1}{4}\right] \setminus \left(\left(\cup_{i=1}^{r_1} \overline{V_1^i}\right) \cup \left(\cup_{i=1}^{r_2} \overline{V_2^i}\right)\right)\right)$$
.  
When  $z, t \in K$  are such that  $d(z, t) \leq \delta$ , then  $h(z) = h(t)$ , i.e.  $h \in lip_0(K)$ .

If  $C_2$  is infinite we proceed inductively in a similar way until we get  $C_n$  finite, which eventually happens because we have a decreasing sequence of ordinals.

The function h we obtain verifies h(0) = 0, |h(y) - h(x)| = d(x, y) and

$$||h||_{L} \le 2 \prod_{j=1}^{n} \left(1 + \frac{1}{2^{j} - 1}\right) \le 2 \prod_{j=1}^{+\infty} \left(1 + \frac{1}{2^{j} - 1}\right) := c$$

where c does not depend on x and y. Moreover, setting

$$0 < \delta = \begin{cases} v_n/2, & \text{if } C_n = \emptyset \\ \frac{1}{2} (\min\{v_n, \text{sep}(C_n)\} \cup \{\text{dist}(z, K \setminus C_n), \ z \in D_n\}), & \text{otherwise} \end{cases}$$

if  $z, t \in K$  are such that  $d(z, t) \leq \delta$ , then h(z) = h(t), i.e.  $h \in lip_0(K)$ . This concludes the proof.

# 3. METRIC APPROXIMATION PROPERTY

**Theorem 3.1.** Let (K, d) be a countable compact metric space. Then  $\mathcal{F}(K)$  has the metric approximation property.

Before starting the proof let us recall a construction due to N. Kalton [8].

Let (K,d) be an arbitrary pointed metric space and set

$$K_n = \{x \in K, \ d(0,x) \le 2^n\} \text{ and } O_n = \{x \in K, \ d(0,x) < 2^n\}, \ n \in \mathbb{Z}$$
  
 $F_N = K_{N+1} \setminus O_{-N-1}, \ N \in \mathbb{N}.$ 

Then, for every  $n \in \mathbb{Z}$ , we can define a linear operator  $T_n : \mathcal{F}(K) \to \mathcal{F}(K)$  by:

$$T_n \delta(x) = \begin{cases} 0 & , & x \in K_{n-1} \\ (\log_2 d(0, x) - (n-1)) \, \delta(x) & , & x \in K_n \backslash K_{n-1} \\ (n+1 - \log_2 d(0, x)) \, \delta(x) & , & x \in K_{n+1} \backslash K_n \\ 0 & , & x \notin K_{n+1} \end{cases}.$$

If we set for  $N \in \mathbb{N}$ ,  $S_N = \sum_{n=-N}^{N} T_n$ , then Lemma 4.2 in [8] gives:

**Lemma 3.2.** For every  $N \in \mathbb{N}$ , we have  $||S_N|| \leq 72$ ,  $S_N(\mathcal{F}(K)) \subset \mathcal{F}(F_N)$  and for every  $\gamma \in \mathcal{F}(K)$ ,  $\lim_{N \to +\infty} S_N \gamma = \gamma$ .

In order to prove Theorem 3.1 we need the following classical lemma. We will give its proof for sake of completeness.

**Lemma 3.3.** If for  $\alpha$  countable ordinal there exist  $F_1, \dots, F_n$  clopen subsets of  $K^{(\alpha)}$ , mutually disjoint, such that  $K^{(\alpha)} = F_1 \cup \dots \cup F_n$ , then there exist  $G_1, \dots, G_n$  clopen subsets of K, mutually disjoint, such that  $K = G_1 \cup \dots \cup G_n$  and  $G_i^{(\alpha)} = F_i$ .

*Proof:* We proceed by induction on  $\alpha < \omega_1$  such that  $K^{(\alpha)} = F_1 \cup \cdots \cup F_n$ , for all  $1 \le i \ne j \le n$ ,  $F_i$  is clopen in  $K^{(\alpha)}$  and  $F_i \cap F_j = \emptyset$ .

The result is clear for  $\alpha = 0$ .

Assume that the result is true for  $\alpha < \omega_1$  and suppose that  $\{F_i\}_{1 \leq i \leq n}$  is a clopen partition of  $K^{(\alpha+1)}$ .

Each  $F_i$  is closed in  $K^{(\alpha)}$  which is compact, then we can find  $O_i$  open subset of  $K^{(\alpha)}$  such that  $F_i \subset O_i$ ,  $O'_i = F_i$ , and  $O_i \cap O_j = \emptyset$ , for  $i \neq j$ . Set  $O = K^{(\alpha)} \setminus \bigcup_{i=1}^n O_i$ ,  $U_1 = O_1 \cup O$  and  $U_i = O_i$ , for  $1 \leq i \leq n$ . Then  $1 \leq i \leq n$  and every  $1 \leq i \leq n$  are closed in  $1 \leq i \leq n$ . Indeed we defined  $1 \leq i \leq n$  as open subsets of  $1 \leq i \leq n$  and then  $1 \leq i \leq n$  are open in  $1 \leq i \leq n$  and then  $1 \leq i \leq n$  are open in  $1 \leq i \leq n$  and then  $1 \leq i \leq n$  are open in  $1 \leq i \leq n$  and then  $1 \leq i \leq n$  are open in  $1 \leq i \leq n$  and then  $1 \leq i \leq n$  are open in  $1 \leq i \leq n$  and  $1 \leq i \leq n$  are open in  $1 \leq i \leq n$  and  $1 \leq i \leq n$  are open in  $1 \leq i \leq n$  and  $1 \leq i \leq n$  and  $1 \leq i \leq n$  are open in  $1 \leq i \leq n$  and  $1 \leq i \leq n$  are open in  $1 \leq i \leq n$  and  $1 \leq i \leq n$  are open in  $1 \leq i \leq n$  and  $1 \leq i \leq n$  are open in  $1 \leq i \leq n$  and  $1 \leq i \leq n$  are open in  $1 \leq i \leq n$  and  $1 \leq i \leq n$  are open in  $1 \leq i \leq n$  and  $1 \leq i \leq n$  are open in  $1 \leq i \leq n$  and  $1 \leq i \leq n$  are open in  $1 \leq i \leq n$  and  $1 \leq i \leq n$  are open in  $1 \leq i \leq n$  and  $1 \leq i \leq n$  are open in  $1 \leq i \leq n$  and  $1 \leq i \leq n$  are open in  $1 \leq i \leq n$  and  $1 \leq i \leq n$  are open in  $1 \leq i \leq n$  and  $1 \leq i \leq n$  and  $1 \leq i \leq n$  are open in  $1 \leq i \leq n$  and  $1 \leq i \leq n$  are open in  $1 \leq i \leq n$  and  $1 \leq i \leq n$  are open in  $1 \leq i \leq n$  and  $1 \leq i \leq n$  are open in  $1 \leq i \leq n$  and  $1 \leq i \leq n$  are open in  $1 \leq i \leq n$  and  $1 \leq i \leq n$  are open in  $1 \leq i \leq n$  are open in  $1 \leq i \leq n$  and  $1 \leq i \leq n$  are open in  $1 \leq i \leq n$  and  $1 \leq i \leq n$  are open in  $1 \leq i \leq n$  are open in  $1 \leq i \leq n$  and  $1 \leq i \leq n$  are open in  $1 \leq i \leq n$  and  $1 \leq i \leq n$  are open in  $1 \leq i \leq n$  and  $1 \leq i \leq n$  are open in  $1 \leq i \leq n$  and  $1 \leq i \leq n$  are open in  $1 \leq i \leq n$  and  $1 \leq i \leq n$  are open in  $1 \leq i \leq n$  and  $1 \leq i \leq n$  are open in  $1 \leq i \leq n$  are open in  $1 \leq i \leq n$  and  $1 \leq i \leq n$  are open in  $1 \leq i \leq n$  and  $1 \leq i \leq n$  are open in  $1 \leq i \leq n$  and  $1 \leq i \leq n$  are open in  $1 \leq i \leq n$  and  $1 \leq i \leq n$  are open in  $1 \leq i \leq n$  and  $1 \leq i \leq n$  are open in  $1 \leq i \leq n$  and  $1 \leq i \leq$ 

We can apply the induction hypothesis to find  $G_1, \dots, G_n$  clopen subsets of K, mutually disjoints, such that  $K = G_1 \cup \dots \cup G_n$  and  $G_i^{(\alpha)} = U_i$ , that is  $G_i^{(\alpha+1)} = F_i$ ,  $1 \le i \le n$ .

Finally we assume  $\alpha$  is a limit ordinal and  $K^{(\alpha)} = F_1 \cup \cdots \cup F_n$ , disjoint union of clopen sets in  $K^{(\alpha)}$ . There exist  $O_1, \cdots, O_n$  open subsets of K such that  $F_i \subset O_i$ ,  $O_i^{(\alpha)} = F_i$  and  $O_i \cap O_j = \emptyset$  for  $i \neq j$ .

Set  $F = K \setminus \bigcup_{i=1}^n O_i$ , then  $\bigcap_{\beta < \alpha} F \cap K^{(\beta)} = F \cap K^{(\alpha)} = \emptyset$ . But F is compact, then there exists  $\beta < \alpha$  such that  $F \cap K^{(\beta)} = \emptyset$ , that is to say  $K^{(\beta)} \subset \bigcup_{i=1}^n O_i$ . Finally  $K^{(\beta)}$  is the disjoint union of  $O_i \cap K^{(\beta)}$ ,  $1 \le i \le n$ , clopen sets in  $K^{(\beta)}$ , so we can use the induction hypothesis to write  $K = G_1 \cup \cdots \cup G_n$ ,  $G_i$  mutually disjoint and clopen in K and  $G_i^{(\beta)} = O_i \cap K^{(\beta)} = O_i^{(\beta)}$ . Moreover we have  $\beta < \alpha$  thus  $G_i^{(\alpha)} = \bigcap_{\gamma < \alpha} G_i^{(\gamma)} = \bigcap_{\gamma < \alpha} O_i^{(\gamma)} = O_i^{(\alpha)} = F_i$ .

*Proof of Theorem 3.1:* We proceed by induction on  $\alpha < \omega_1$  such that  $K^{(\alpha)}$  is finite.

- If K is finite then  $\mathcal{F}(K)$  is finite dimensional, so has trivially the MAP and the property is true for  $\alpha = 0$ .
- Let  $\alpha$  be a countable ordinal and assume that for every  $\beta < \alpha$ , if (K, d) is a compact metric space so that  $K^{(\beta)}$  is finite, then  $\mathcal{F}(K)$  has the MAP.

Now let (K, d) be a compact metric space such that  $K^{(\alpha)}$  is finite.

First  $\mathcal{F}(K)$  is linearly isometric to  $lip_0(K)^*$  and a theorem of A. Grothendieck [6] (see also Theorem 1.e.15 in [10]) asserts that a separable Banach space which is isometric to a dual space and which has the AP has the MAP, so it is enough to prove that  $\mathcal{F}(K)$  has the BAP.

Secondly, if K is such that  $K^{(\alpha)} = \{a_1, \dots, a_n\}$ , singletons  $\{a_i\}$ 's are clopen in  $K^{(\alpha)}$  and Lemma 3.3 gives  $G_1, \dots, G_n$  mutually disjoint clopen subsets of K such that  $\forall i \leq n$ ,  $G_i^{(\alpha)} = \{a_i\}$  and  $K = G_1 \cup \dots \cup G_n$ . Moreover  $\mathcal{F}(K)$  is isomorphic to  $(\bigoplus_{i=1}^n \mathcal{F}(K_i))_{\ell_1}$ , where  $K_i = G_i \cup \{0\}$ ,  $1 \leq i \leq n$ .

Indeed if  $a = \min_{i \neq j} \operatorname{dist}(G_i, G_j)$ , where

$$dist(G_i, G_j) = \inf \left\{ d(x, y) , x \in G_i , y \in G_j \right\},\,$$

by compactness we have a > 0. Then the operator

$$\Phi: \begin{array}{ccc} Lip_0(K) & \to & (\bigoplus_{i=1}^n Lip_0(K_i))_{\infty} \\ f & \mapsto & (f_{|K_i})_{i=1}^n \end{array}$$

is onto, linear, weak\*-continuous and for  $f \in Lip_0(K)$ , we have

$$\frac{a}{2\operatorname{diam}(K)} \|f\|_L \le \|\Phi(f)\|_\infty \le \|f\|_L.$$

Hence  $\mathcal{F}(K)$  is isomorphic to  $(\bigoplus_{i=1}^n \mathcal{F}(K_i))_{\ell_1}$ .

The BAP is stable with respect to finite  $\ell_1$ -sums and isomorphisms, then it is enough to prove that for any  $i \in \{1, \dots, n\}$ ,  $\mathcal{F}(K_i)$  has the BAP. In other words we need to prove that when  $K^{(\alpha)}$  is a singleton then  $\mathcal{F}(K)$  has the BAP.

Suppose as we may that  $K^{(\alpha)} = \{0\}$ . Using the construction due to Kalton [8] we have a sequence of linear operators  $S_N : \mathcal{F}(K) \to \mathcal{F}(F_N)$ ,  $||S_N|| \leq 72$  and for every  $\gamma \in \mathcal{F}(K)$ ,  $\lim_{N \to +\infty} S_N \gamma = \gamma$ .

Moreover, for every  $N \in \mathbb{N}$ , there exists  $\beta < \alpha$  such that  $F_N^{(\beta)}$  is finite and then  $\mathcal{F}(F_N)$  has the MAP:

since  $\mathcal{F}(F_N)$  is separable, for every  $N \in \mathbb{N}$ , there exists a sequence of finite-rank linear operators  $R_p^N: \mathcal{F}(F_N) \to \mathcal{F}(F_N)$  so that for every  $\gamma \in \mathcal{F}(F_N)$ ,  $\lim_{p \to +\infty} R_p^N \gamma = \gamma$  and  $\|R_p^N\| \le 1$  for every  $p \in \mathbb{N}$  ([12], see also Theorem 1.e.13 in [10]).

Setting  $Q_{N,p} = R_p^N \circ S_N$  we deduce that the range of  $Q_{N,p}$  is finite dimensional as the range of  $R_p^N$ ,  $||Q_{N,p}|| \le ||R_p^N|| ||S_N|| \le 72$  and for every  $\gamma \in \mathcal{F}(K)$ ,

$$\lim_{N \to +\infty} \lim_{p \to +\infty} R_p^N S_N \gamma = \lim_{N \to +\infty} S_N \gamma = \gamma.$$

Thus  $\mathcal{F}(K)$  has the 72-BAP and this concludes the proof.

# 4. Application to quotient spaces

For a pointed metric space (M,d) and A a closed subset of M containing 0 we can define the quotient M/A as the space  $(M\backslash A) \cup \{0\}$  with the metric given by:

$$d_{M/A}(x,y) = \left\{ \begin{array}{ll} \operatorname{dist}(x,A) &, \ y=0 \\ \min\left\{d(x,y), \ \operatorname{dist}(x,A) + \operatorname{dist}(y,A)\right\} &, \ x,y \neq 0 \end{array} \right.$$

Corollary 4.1. Let (K, d) be a compact metric space which is not perfect (i.e.  $K' \neq K$ ). Then for every countable ordinal  $\alpha \geq 1$ , the space  $\mathcal{F}(K/K^{(\alpha)})$  has the MAP.

*Proof:* Remark that for every compact metric space (K,d) and every countable ordinal  $\alpha \geq 1$ , the quotient space  $K/K^{(\alpha)}$  is compact and countable because  $(K/K^{(\alpha)})^{(\alpha)}$  is empty or  $\{0\}$ . Then this result is a consequence of Theorem 3.1.  $\square$ 

Remark 4.2. (1) If K is perfect, then  $\mathcal{F}(K/K^{(\alpha)}) = \{0\}.$ 

(2) Otherwise  $\mathcal{F}(K)/\mathcal{F}(K^{(\alpha)})$  is linearly isometric to  $\mathcal{F}(K/K^{(\alpha)})$  (We write  $\mathcal{F}(K)/\mathcal{F}(K^{(\alpha)}) \equiv \mathcal{F}(K/K^{(\alpha)})$ ).

Indeed we can assume that  $0 \in K^{(\alpha)}$ . Then

$$\{ f \in Lip_0(K) ; \forall x, y \in K^{(\alpha)}, f(x) = f(y) \}$$
  
=  $\{ f \in Lip_0(K) ; \forall x \in K^{(\alpha)}, f(x) = 0 \}.$ 

And since  $\mathcal{F}(K^{(\alpha)}) = \overline{\text{vect}} \{ \delta_x, \ x \in K^{(\alpha)} \}$ , we have

$$\{f \in Lip_0(K) \; ; \; \forall x \in K^{(\alpha)}, f(x) = 0\} = \mathcal{F}(K^{(\alpha)})^{\perp},$$

which is isometric to  $(\mathcal{F}(K)/\mathcal{F}(K^{(\alpha)}))^*$ . To sum up

$$\left\{f\in Lip_0(K)\ ;\ \forall x,y\in K^{(\alpha)}, f(x)=f(y)\right\}\equiv \left(\mathcal{F}(K)/\mathcal{F}(K^{(\alpha)})\right)^*.$$

From Propositions 1.4.3 and 1.4.4 in [14], there exists an isometry  $\Phi$  from  $\{f \in Lip_0(K) : \forall x, y \in K^{(\alpha)}, f(x) = f(y)\}$  onto  $Lip_0(K/K^{(\alpha)})$ .

Moreover  $Lip_0(K/K^{(\alpha)})$  is linearly isometric to  $\mathcal{F}(K/K^{(\alpha)})^*$ , so the space  $(\mathcal{F}(K)/\mathcal{F}(K^{(\alpha)}))^*$  is isomorphically isometric to  $\mathcal{F}(K/K^{(\alpha)})^*$ . One can easily check that  $\Phi$  is weak\*-continuous, and finally  $\mathcal{F}(K)/\mathcal{F}(K^{(\alpha)})$  is linearly isometric to  $\mathcal{F}(K/K^{(\alpha)})$ .

To finish this paper we will use Corollary 4.1 and the previous remark to prove the following: in order to obtain that every countable compact metric space has the BAP it is not possible to use the three-space property due to G. Godefroy and P.D. Saphar [5], asserting:

If M is a closed subspace of a Banach space X so that  $M^{\perp}$  is complemented in  $X^*$  and X/M has the BAP, then X has the BAP if and only if M has the BAP.

Indeed we can construct a compact metric space K so that  $K^{(2)} = \{0\}$ , in particular  $\mathcal{F}(K)$ ,  $\mathcal{F}(K')$  and  $\mathcal{F}(K)/\mathcal{F}(K')$  have the MAP, but  $\mathcal{F}(K')^{\perp}$  is not complemented in  $Lip_0(K)$ .

To construct this space we need a proposition similar to Proposition 7 in [4]:

Proposition 4.3. For any  $\lambda > 0$ , there exist a finite metric space  $H_{\lambda}$  and a subset  $G_{\lambda}$  of  $H_{\lambda}$  such that if  $P : Lip_0(H_{\lambda}) \to \mathcal{F}(G_{\lambda})^{\perp}$  is a bounded linear projection, then  $\|P\| \geq \lambda$ .

*Proof:* Assume that for some  $\lambda_0 > 0$  and for all pairs (G, H) of finite metric spaces with  $G \subset H$  we can construct  $P : Lip_0(H) \to \mathcal{F}(G)^{\perp}$  linear projection with norm bounded by  $\lambda_0$ .

Let K be the compact metric space such that  $\mathcal{F}(K)$  fails AP appearing in Corollary 5 of [4]. There exists  $(G_n)_{n\in\mathbb{N}}$  an increasing sequence of finite subsets of K such that  $\bigcup_{n\in\mathbb{N}} G_n = K$ .

Then for every  $n \in \mathbb{N}$  and every  $k \geq n$ , there exists  $P_n^k : Lip_0(G_k) \to \mathcal{F}(G_n)^{\perp}$  a linear projection of norm less than  $\lambda_0$ , where  $\mathcal{F}(G_n)^{\perp} \subset Lip_0(G_k)$ .

Fix  $n \in \mathbb{N}$ . For  $k \in \mathbb{N}$ , let  $E_k : Lip_0(G_k) \to Lip_0(K)$  be the non linear extension operator which preserves the Lipschitz constant given by the inf-convolution formula:

$$\forall f \in Lip_0(K), \ \forall x \in K, \ E_k f(x) = \inf_{y \in G_k} \{ f(y) + ||f||_L d(x,y) \}.$$

For  $f \in Lip_0(K)$ , we set

$$\widetilde{P_n^k}(f) = \left\{ \begin{array}{ll} E_k P_n^k \left( f_{|G_k} \right) & , k \geq n \\ 0 & , k < n \end{array} \right. .$$

Then  $||P_n^k(f)||_L \le \lambda_0 ||f||_L$ , for every  $f \in Lip_0(K)$ .

If  $\mathcal{U}$  is a non trivial ultrafilter on  $\mathbb{N}$ , for every  $f \in Lip_0(K)$  we can define  $P_n f$  as the pointwise limit of  $\widetilde{P_n^k}(f)$  with respect to  $k \in \mathcal{U}$ . Then  $P_n$  is a linear projection onto  $\mathcal{F}(G_n)^{\perp} \subset Lip_0(K)$  because  $P_n^k$  is a projection onto  $\mathcal{F}(G_n)^{\perp} \subset Lip_0(G_k)$ . Moreover  $\|P_n f\|_L \leq \lambda_0 \|f\|_L$  and  $P_n f$  pointwise converges to 0 for any  $f \in Lip_0(K)$ .

Set  $Q_n = Id_{Lip_0(K)} - P_n : Lip_0(K) \to Lip_0(K)$ . Then  $Q_n$  is a continuous linear projection of finite rank and Ker  $Q_n = \mathcal{F}(G_n)^{\perp}$  is weak\*-closed. Therefore  $Q_n$  is weak\*-continuous. Moreover  $\|Q_n\| \leq 1 + \lambda_0$  and for every  $f \in Lip_0(K)$ ,  $Q_nf$  converges pointwise to f.

Using Theorem 2 in [1] we deduce that  $\mathcal{F}(K)$  has the  $(1+\lambda_0)$ -BAP, contradicting our assumption on K.

Thanks to that proposition we will construct a compact metric space K such that  $K^{(2)} = \{0\}$  and  $\mathcal{F}(K')^{\perp}$  is not complemented in  $Lip_0(K)$ :

For every  $n \in \mathbb{N}$  there exist  $A_n \subset B_n$  finite such that for every continuous linear projection  $P_n: Lip_0(B_n) \to \mathcal{F}(A_n)^{\perp}$ , we have  $||P_n|| \ge n$ .

Set  $\alpha_n = \min \{d(x,y), x \neq y \in B_n\} > 0$ . If we see  $B_n$  as a subspace of  $\ell_{\infty}^{m_n}$ , with  $m_n$  the cardinality of  $B_n$ , we can find for every  $a \in A_n$ ,  $L_n^a$  a sequence converging to a such that  $L_n^a \subset B\left(a, \frac{\alpha_n}{2}\right)$ .

Define 
$$K_n = \left(\bigcup_{a \in A_n} L_n^a\right) \cup B_n$$
, we obtain  $A_n \subset B_n \subset K_n$  and  $K'_n = A_n$ . We can assume that the diameter of  $K_n$  is less than  $8^{-n}$ .

Finally we define  $K:=\left(\bigcup_{n\in\mathbb{N}}\left\{n\right\}\times K_n\right)\cup\left\{0\right\}$  equipped with the distance:

$$d(0,(n,x)) = 2^{-n}$$

$$d((n,x),(m,y)) = \begin{cases} d_{K_n}(x,y) &, n=m \\ |2^{-n}-2^{-m}| &, n \neq m \end{cases}$$

Then  $K^{(2)} = \{0\}.$ 

Now assume that there exists  $P: Lip_0(K) \to \mathcal{F}(K')^{\perp}$  a continuous linear projection. Let  $E_n: Lip_0(B_n) \to Lip_0(K_n)$ ,  $F_n: Lip_0(B_n) \to Lip_0(K)$  and  $R_n: Lip_0(K) \to Lip_0(B_n)$  be defined as follows:

$$\forall f \in Lip_0(B_n), \qquad (E_n f)(x) = \begin{cases} f(x) &, x \in B_n \\ f(a) &, x \in L_n^a \end{cases}$$

$$\forall f \in Lip_0(B_n), \quad (F_n f)(m, x) = \begin{cases} (E_n f)(x) &, n = m \\ 0 &, n \neq m \end{cases}$$

$$\forall f \in Lip_0(K), \qquad R_n f = f_{|\{n\} \times B_n}$$

We set  $P_n := R_n \circ P \circ F_n : Lip_0(B_n) \to \mathcal{F}(A_n)^{\perp}$  and we have that  $P_n$  is a continuous linear projection. From Proposition 4.3 we deduce that  $\|P_n\| \geq n$ . Moreover our choice of  $\alpha_n$  implies that  $||F_n|| \leq 3$ , then finally  $||P|| \geq n/3$  holds for every  $n \in \mathbb{N}$ . Therefore P is unbounded and  $\mathcal{F}(K')^{\perp}$  is not complemented in  $Lip_0(K)$ .

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